

15-150

Principles of Functional Programming

Lecture 3

January 21, 2025

Michael Erdmann

Recursion & Induction

Standard
Strong
Structural

(* square : int \rightarrow int

REQUIRES: true

ENSURES: square(n) \Rightarrow n^2

*)

fun square (n : int) : int = n * n

square is bound to a function value.

square : int \rightarrow int

"has type"

square 7 is an expression.

square 7 : int

square 7 $\xrightarrow{\text{"evaluates to"}}$ 49

49 : int

49 is a value (values are also expressions)

I sometimes abbreviate reductions

Instead of

square 7

\Rightarrow [env when square was defined]
(fn (n:int) \Rightarrow n*n) 7

\Rightarrow [env...][7/n] n*n

\Rightarrow [env...][7/n] 7*n

\Rightarrow [env...][7/n] 7*7

\Rightarrow 49

I may just write

square 7

\Rightarrow 7*7

\Rightarrow 49

I sometimes abbreviate reductions

Instead of

square 7

\Rightarrow [env when square was defined]
(fn (n:int) \Rightarrow n*n) 7

\Rightarrow [env...][7/n] n*n

\Rightarrow [env...][7/n] 7*n

\Rightarrow [env...][7/n] 7*7

\Rightarrow 49

or even just

square 7

\Rightarrow 49

(* power : int * int \rightarrow int

REQUIRES : $k \geq 0$

ENSURES : $\text{power}(n, k) \hookrightarrow n^k$
(let's define $0^0 = 1$)

*)

fun power(n:int, 0:int): int = 1

| power(n, k) = n * power(n, k-1)

(* power : int * int \rightarrow int

REQUIRES : $k \geq 0$

ENSURES : $\text{power}(n, k) \hookrightarrow n^k$
(let's define $0^0 = 1$)

*)

fun power (— : int, 0 : int) : int = 1

| power (n, k) = n * power(n, k-1)

(* power : int * int \rightarrow int

REQUIRES : $k \geq 0$

ENSURES : $\text{power}(n, k) \hookrightarrow n^k$
(let's define $0^0 = 1$)

*)

fun power(n:int, 0:int): int = 1

| power(n, k) = n * power(n, k-1)

$$3^7 = 3 \cdot 3 \cdot 3 \cdot 3 \cdot 3 \cdot 3 \cdot 3 \cdot 1$$

$$= 2187$$

$O(k)$ recursive calls

(* even : int \rightarrow bool

REQUIRES : true

ENSURES : even k evaluates to
 $\begin{cases} \text{true, if } k \text{ is even;} \\ \text{false, if } k \text{ is odd.} \end{cases}$

*)

fun even (k :int): bool =

($k \bmod 2$) = 0

Typing & Evaluation of if...then...else.

- $\text{if } e_1 \text{ then } e_2 \text{ else } e_3 : \tau$

if $e_1 : \text{bool}$,
 $e_2 : \tau$,
& $e_3 : \tau$.

(In particular, e_2 & e_3 must have the same type.)

- Evaluation is left-to-right.

e_2 is evaluated iff $e_1 \hookrightarrow \text{true}$.

e_3 is evaluated iff $e_1 \hookrightarrow \text{false}$.

(* power : int * int \rightarrow int

REQUIRES : $k \geq 0$

ENSURES : $\text{power}(n, k) \hookrightarrow n^k$
(let's define $0^0 = 1$)

*)

fun power(n:int, 0:int):int = 1

| power(n, k) =

if (even k)

then square(power(n, k div 2))

else n * power(n, k-1)

(* power : int * int \rightarrow int

REQUIRES : $k \geq 0$

ENSURES : $\text{power}(n, k) \hookrightarrow n^k$
(let's define $0^0 = 1$)

*)

fun power(n:int, 0:int):int = 1

| power(n, k) =

if (even k)

then square(power(n, k div 2))

else n * power(n, k-1)

$$3^7 = 3 \cdot (3 \cdot (3 \cdot 1)^2)^2$$

$$= 2187$$

$O(\log k)$ recursive calls

We would like to prove
correctness for each implementation:

Theorem

For all values $n:\text{int}$ & $k:\text{int}$,
with $k \geq 0$, $\text{power}(n, k) \longleftrightarrow n^k$.

During lecture:

We proved the theorem for our two implementations of **power**.

We used standard mathematical induction for the first implementation and strong induction for the second implementation.

Lists

Type t list for any type t .

Values $[v_1, \dots, v_n]$, with each v_i a value of type t ,
& $n \geq 0$. (By $n=0$ we mean the empty
list, written $[]$ or nil .)

Expressions • All the values, &
• $e :: es$, with $e : t$ & $es : t$ list.

For example $1 :: [2, 3]$ which gives the list
 $[1, 2, 3] : \text{int list}$

Small comment

$1 :: [2, 3]$ & $[1, 2, 3]$
are simply two different ways of
writing the same thing (same list value).
Here is another way: $1 :: 2 :: 3 :: \text{nil}$.

$::$ is right-associative.

So

$1 :: 2 :: 3 :: \text{rest}$

means

$1 :: (2 :: (3 :: \text{rest})).$

Type-checking

- $[] : t \text{ list}$
- $e :: es : t \text{ list}$
if $e : t$ and $es : t \text{ list}$

Evaluation

- $[]$ is a value (pronounced "nil")
(same as nil)

- $$e :: es \Rightarrow e' :: es$$
$$\text{if } e \Rightarrow e'$$

- $$v :: es \Rightarrow v :: es'$$
$$\text{if } v \text{ is a value}$$
$$\text{and } es \Rightarrow es'.$$

(I.e., left-to-right evaluation
for sequential evaluation.)

Can use list structure as
patterns, with variables binding
to different parts of the list.

During lecture:

We wrote a **length** function for lists.

We proved that **length** is total

We used *structural induction* for the proof.

Correspondence

<u>Datastructure</u>	<u>Code</u>	<u>Proof</u>
base case(s)	base case(s)	base case(s)
inductive/recursive definition(s)	recursive clause(s)	induction step(s)

Correspondence

Datastructure	Code	Proof
base case(s) \circ	base case(s) $\text{fun power}(-, 0) = 1$	base case(s) $\text{power}(n, 0) \hookrightarrow 1$
inductive/recursive definition(s) $(k-1) + 1$	recursive clause(s) $ \text{power}(n, k) = n * \text{power}(n, k-1)$	induction step(s)

IH: $\text{power}(n, k) \hookrightarrow n^k$
NTS: $\text{power}(n, k+1) \hookrightarrow n^{k+1}$

Correspondence

Datastructure	Code	Proof
base case(s) []	base case(s) 0	base case(s) $\text{length } [] \hookrightarrow 0$
inductive/recursive definition(s) $x::xs$	recursive clause(s) $1 + \text{length}(xs)$	inductive case(s) IH: $\text{length}(xs) \hookrightarrow v$ NTS: $\text{length}(x::xs) \hookrightarrow v'$

Correspondence

<u>Datastructure</u>	<u>Code</u>	<u>Proof</u>
base case(s) []	base case(s) 0	base case(s) $\text{length } [] \hookrightarrow 0$
inductive/recursive definition(s)	recursive clause(s)	inductive case(s)
$x::xs$	$1 + \text{length}(xs)$	$\text{IH: length}(xs) \hookrightarrow v$ $\text{NTS: length}(x::xs) \hookrightarrow v'$

There may be several
base cases and/or several
inductive cases.

That is all.

Have a good

Wednesday !